

1 **Distinct transcriptomic reprogramming in the wheat stripe**  
2 **rust fungus during the initial infection of wheat and barberry**

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## 1 **Abstract**

2 *Puccinia striiformis* f. sp. *tritici* (*Pst*) is the causal agent of wheat stripe rust that causes  
3 severe yield losses all over the world. As a macrocyclic heteroecious rust fungus, it is  
4 able to infect two unrelated host plants: wheat and barberry. Its urediniospores infect  
5 wheat and cause disease epidemic, while its basidiospores parasitize barberry to fulfill  
6 the sexual reproduction. This complex life cycle poses interesting questions on the  
7 different mechanisms of pathogenesis underlying the infection of the two different hosts.  
8 In the present study, transcriptomes of *Pst* during the initial infection of wheat and  
9 barberry leaves were qualitatively and quantitatively compared. As a result, 142 wheat-  
10 specific expressed genes (WEGs) were identified, which was far less than 2,677  
11 barberry-specifically expressed genes (BEGs). A larger proportion of evolutionary  
12 conserved genes were observed in BEGs than that in WEGs, implying a longer history  
13 of the interaction between *Pst* and barberry. Additionally, *Pst* differentially expressed  
14 genes (DEGs) between wheat at 1 dpi/2 dpi and barberry at 3 dpi/ 4dpi were identified  
15 by quantitative analysis. Gene Ontology analysis of these DEGs and expression patterns  
16 of *Pst* pathogenic genes, including those encoding candidate secreted effectors, cell  
17 wall degrading enzymes, and nutrient transporters, demonstrated that urediniospores  
18 and basidiospores exploited distinct strategies to overcome host defense systems. These  
19 results represent the first analysis of the *Pst* transcriptome in barberry and contribute to  
20 a better understanding of the evolutionary processes and strategies of different types of  
21 rust spores during the infection process on different hosts.

22

1 Rust fungi (*Pucciniales*) are the largest group of plant pathogenic fungi, with more  
2 than 8,000 described species (Aime et al. 2017). *Puccinia striiformis* f. sp. *tritici* (*Pst*),  
3 the causal agent of wheat stripe rust, is among the most important pathogens on wheat,  
4 and can cause severe yield losses. As a macrocyclic heteroecious rust fungus, *Pst* has  
5 five morphologically and functionally different spore forms and requires two specific  
6 but unrelated host plants to complete its life cycle (Zhao et al. 2016). In spring,  
7 teliospores overwintering on wheat debris germinate and produce basidiospores.  
8 Basidiospores infect barberry and form receptive hyphae and pycniospores on the upper  
9 surface of the barberry leaves. Upon fertilization of receptive hyphae by pycniospores,  
10 a dicaryotic mycelium develops that ultimately forms aeciospores on the lower surface  
11 of the barberry leaves. Aeciospores then infect wheat and produce asexual  
12 urediniospores that can infect wheat in repeated cycles and cause disease epidemics. At  
13 the late growing season of wheat, teliospores are produced, which allow *Pst* to survive  
14 the unfavorable summer and winter seasons.

15 This survival strategy of *Pst* poses interesting questions regarding the mechanisms  
16 of pathogenesis as well as nutrient uptake underlying the infection of two botanically  
17 unrelated host plants. Although having the same genetic background, urediniospores  
18 and basidiospores specifically infect wheat and barberry, respectively. In addition,  
19 different mechanisms are applied to penetrate host tissues: urediniospores infect wheat  
20 through stomata while basidiospores infect barberry through direct penetration of  
21 epidermal cells by appressorium (Jiao et al. 2017). It was therefore suggested that  
22 transcriptomic re-programming in these two types of spores might account for the *Pst*  
23 capacity of infecting two different hosts, especially at the initial stage of infection. In  
24 recent years, the transcriptomic profiling of cereal rust fungi and wheat interactions  
25 have been extensively studied (Chen et al. 2013; Dobon et al. 2016; Garnica et al. 2013;

1 Rutter et al. 2017; Yadav et al. 2016). Although mainly converging on the host aspect,  
2 these studies provide valuable information for deciphering rust pathogenicity and wheat  
3 immune response. However, transcriptomic studies on interactions between cereal rust  
4 fungi and their alternate hosts are less mentioned at present.

5 In order to successfully colonize wheat, *Pst* utilizes a set of pathogenicity-related  
6 genes and mechanisms to defeat the plant immune system and acquire nutrients to  
7 enable further growth and propagation. Previous studies have identified a lot of *Pst*  
8 candidate secreted effector protein (CSEP) genes by haustoria isolation and sequencing  
9 (Cantu et al. 2013; Upadhyaya et al. 2015). Recently, a number of *Pst* effectors playing  
10 critical roles during the infection of wheat have been identified and functionally  
11 characterized (Cheng et al. 2015; Cheng et al. 2017; Liu et al. 2016; Qi et al. 2019a;  
12 Wang et al. 2017; Xu et al. 2019; Yang et al. 2020; Zhao et al. 2018). For example,  
13 GSRE1 is a glycine-serine-rich effector which can suppress host immunity through  
14 targeting and blocking the nuclear localization of wheat transcription factor TaLOL2,  
15 a positive regulator of wheat reactive oxygen species (ROS) production (Qi et al.  
16 2019a). Another important *Pst* effector is Pst\_12806, which facilitate rust infection by  
17 interfering the function of chloroplasts, such as electron transport, photosynthesis, and  
18 chloroplast-derived ROS production (Xu et al. 2019). Recent studies have shown that  
19 the sexual cycle of *Pst* on barberry is responsible for the observed frequent virulence  
20 variations and the generation of new races of the pathogen (Zhao et al. 2016). However,  
21 very little is known about the specific mechanisms of *Pst* to infect its alternate host  
22 barberry.

23 In the present study, we analyzed and compared the global transcript profiles of *Pst*  
24 during infection of two different hosts. Sets of genes that are specifically expressed in  
25 either wheat or barberry were identified. Expression levels of *Pst* effector genes and

1 other genes involved in pathogenicity (such as plant cell wall degrading genes and  
2 nutrient transporter genes) in the initial stage of wheat and barberry infection were  
3 quantified and analyzed. Our data represents the first report of the transcriptome of *Pst*  
4 during the infection of barberry. This work provides a model to understand the  
5 evolutionary processes and strategies of different types of rust spores during the  
6 infection process on different hosts.

7

## 1 RESULTS

### 2 **Histological analyses of *Pst* infection of barberry and wheat**

3 *Pst* basidiospores and urediniospores use different ways to infect the respective  
4 hosts. Urediniospores germinate on wheat leaves and enter the plant tissue through  
5 stomata. By contrast, germinated basidiospores penetrate barberry leaf tissue directly  
6 through the epidermis (Jiao et al. 2017). To identify an appropriate time point for a  
7 comparison of the two types of infection, we compared the infection processes of  
8 basidiospores and urediniospores of *Pst* isolate CYR32 by histological observation (Fig.  
9 1A). In wheat leaves inoculated by urediniospores, the formation of haustoria can be  
10 observed at 1 day post inoculation (dpi) followed by the formation of the secondary  
11 hyphae at 2 dpi. The penetration frequency for urediniospores raised from 17.0% at 1  
12 dpi to 24.3% at 2 dpi, then remained around 26% at 3, 4, and 5 dpi (Fig. 1B). The  
13 infection process emanating from basidiospores on barberry seems to be more slowly.  
14 The ovate intra-epidermal vesicles (OIV) were not observed until 3 dpi and the  
15 secondary hyphae formed at 4 dpi (Fig. 1A). The penetration frequency for  
16 basidiospores raised from 11.1% at 3 dpi to 16.6% at 4 dpi and was held at 17.3% at 5  
17 dpi (Fig. 1B). Therefore, wheat leaves at 2 dpi and barberry leaves at 4 dpi were selected  
18 for qualitative comparison. These two time points represent early stages of infection by  
19 urediniospores and basidiospores when parasitic relationship between *Pst* and the host  
20 plant has just been established.

### 21 **Transcriptomes of *Pst* during infection of wheat and barberry**

22 To clarify the different mechanisms deployed by urediniospores and basidiospores  
23 during the infection process of wheat and barberry, transcriptomes of both interactions  
24 were compared. Two *Pst* isolates, CYR32 and V26, were inoculated on wheat and  
25 barberry leaves. Then wheat leaf samples at 2 dpi and barberry leaf samples at 4 dpi

1 were collected. Since the fungal RNA at the early stage of infection represents only a  
2 small fraction of the total RNA from infected leaves, we constructed normalized cDNA  
3 libraries to increase the proportion of pathogen RNA that enabled us to detect expressed  
4 fungal genes more readily. Data from the normalized library were used for qualitative  
5 comparison of expressed genes of two *Pst* isolates in wheat and barberry. Meanwhile,  
6 barberry leaf samples from 3 and 4 dpi as well as wheat leaf samples from 1 and 2 dpi  
7 were collected, and non-normalized libraries were constructed for quantitative analysis  
8 of transcriptional levels for *Pst* genes during the early stage of infection of two hosts.  
9 Following quality filtering and data trimming, an average of 44.6 and 65 million high-  
10 quality reads per sample were produced for normalized and non-normalized samples,  
11 respectively (Table 1 and Supplementary Table S1). All of these reads were mapped  
12 onto PST-CY32 reference genome (Zheng et al. 2013). Since some reads that mapped  
13 to the reference genome did not align to predicted exons, we used our transcriptome  
14 data to generate an updated set of annotations using the software Cufflinks and the  
15 reference annotation based transcript (RABT) assembly pipeline (Roberts et al. 2011;  
16 Trapnell et al. 2012), which led to the annotation of another 2,712 genes  
17 (Supplementary Table S2) in addition to the 26,832 genes predicted from the reference  
18 genome. With the increasing of sequencing depth, each sample reached a plateau in the  
19 saturation curves independently of the isolates, host or time points (Supplementary Fig.  
20 S1), indicating a sufficient sequencing depth to cover a full transcriptome for all the  
21 samples.

22 For normalized samples, the percentage of reads aligned to the *Pst* genome were  
23 14.47% (CYR32) and 19.79% (V26) for wheat leaves, and 13.05% (CYR32) and 9.80%  
24 (V26) for barberry leaves (Table 1). Among the 29,544 genes, transcripts of 18,328  
25 genes (62.0%) were detected (with at least one read assigned). For isolate CYR32,



1 17,180 and 11,281 genes were found to be expressed in barberry and wheat,  
2 respectively. Similarly, for isolate V26, 14,032 and 10,550 *Pst* genes were found to be  
3 expressed in barberry and wheat, respectively (Fig. 2A; Supplementary Table S3).  
4 These results demonstrated that much more *Pst* genes were expressed during the  
5 infection of barberry than that of wheat. In addition, 8,277 genes were detected in all  
6 samples, indicating their essential roles in *Pst* infection of both hosts.

7 Compared to normalized samples, the percentages of reads mapped to *Pst* genome  
8 for non-normalized samples were apparently much lower (ranged from 0.55% to  
9 1.25%), and few genes were detected as well (Supplementary Table S1). Similarly,  
10 there were still more gene transcripts detected in barberry than that in wheat, which was  
11 consistent with the results from the normalized samples.

### 12 **Host-specifically expressed genes of *Pst* during the onset of pathogenesis in wheat** 13 **or barberry**

14 Expression levels from the normalized samples were used to characterize host-  
15 specifically expressed genes that are exclusively expressed in wheat or barberry at the  
16 early stage of infection. To this end, a threshold of Transcript per million (TPM) value  
17 above 2.0 in one host while below 2.0 in another host, and their ratio above 5.0 was  
18 defined for qualitative identification. Then, the analysis was performed for CYR32 and  
19 V26 separately and the intersection for two isolates were obtained. Finally, 142 wheat-  
20 specifically expressed genes (WEGs) were identified, which is far less than the 2,677  
21 barberry-specifically expressed genes (BEGs) and 1,646 wheat-barberry-commonly  
22 expressed genes (WBEGs) identified (Supplementary Table S3).

23 Effectors are known as key pathogenic components that facilitate infection by  
24 modulating plant immunity and reprogramming host cell metabolisms. Among the 953  
25 CSEP genes, 26 wheat-specifically expressed effector genes (WEEs) were identified.

1 Meanwhile 321 BEGs and 29 WBEGs were identified as barberry-specifically  
2 expressed effector genes (BEEs) and wheat-barberry commonly expressed effector  
3 genes (WBEEs), respectively. Despite the small number of WEEs, they account for a  
4 relative bigger proportion of WEGs (18.3%) than BEEs and WBEEs, which account  
5 for 12.0% and 1.8% of WEGs and WBEGs. In contrast, a higher percentage of  
6 functionally annotated genes were found in WBEGs (28.7%) than that in BEGs (9.1%)  
7 or WEGs (4.2%) (Fig. 2B).

### 8 **Genes specifically expressed in wheat are “younger” than those in barberry**

9 As a high proportion of functional annotated genes in BEGs, we speculated that  
10 these genes were evolutionary conserved among *Basidiomycetes*. To test this  
11 hypothesis, we identified orthologs of host specifically expressed genes from nine  
12 Basidiomycetes: *Puccinia striiformis* f. sp. *tritici* PST-78, *Puccinia graminis* f. sp.  
13 *tritici*, *Puccinia triticina* 1-1 BBBB Race 1, *Uromyces vicia-fabae*, *Melampsora larici-*  
14 *populina*, *Cronartium quercuum* f. sp. *fusiforme*, *Naiadella fluitans* ATCC 64713 v1.0,  
15 *Mixia osmundae* IAM and *Ustilago maydis* strain 521. Orthologs to BEGs were  
16 identified in a frequency of 40.12% to 89.65%. While, much less orthologs for WEGs  
17 were identified. For example, only 21.13% WEGs have orthologs in *U. maydis*, which  
18 was about one-half of that of BEGs (Table 2). In conclusion, genes specifically  
19 expressed in barberry tended to be evolutionary conserved while there were bigger  
20 proportion of young genes specifically expressed in wheat.

### 21 **Identification of *Pst* differentially expressed genes during the infection of wheat** 22 **and barberry**

23 Expression levels from the non-normalized samples were used to a quantitative  
24 comparison of expression patterns of *Pst* genes in wheat and barberry. In total, 1,968  
25 differentially expressed genes (DEGs) of *Pst* during the infection of wheat at 1 dpi/2  
26 dpi and barberry at 3 dpi/4 dpi were identified (Supplementary Table S4). Among them,

1 there were 1,410 DEGs for samples from barberry at 3 dpi vs samples from wheat at 1  
2 dpi, and 1,001 DEGs for barberry at 4 dpi vs wheat at 2 dpi, respectively. In total, there  
3 were 1,234 genes abundant in barberry at 3 dpi or 4dpi, while as 734 genes were  
4 abundant in wheat at 1 dpi or 2 dpi. However, there were no significant differences of  
5 expression levels between 3 dpi and 4 dpi in barberry, as well as expression levels  
6 between 1 dpi and 2 dpi in wheat, suggesting a gradual and consistent course of  
7 infection.

8 *Puccinia striiformis* f. sp. *tritici* DEGs between hosts were categorized into  
9 functional classes using Gene Ontology (GO) to identify specific terms they were  
10 involved in (Supplementary Table S5). As shown in Fig. 4, DEGs abundant in barberry  
11 at 3 dpi or 4 dpi were enriched in hydrolase activity (acting on carbon-nitrogen bonds)  
12 (GO:0016810), FMN binding (GO:0010181), carbohydrate metabolic process  
13 (GO:0005975), oxidoreductase activity (GO:0016491), and calcium ion  
14 binding(GO:0005509). In contrast, DEGs abundant in wheat at 1 dpi or 2 dpi were  
15 overrepresented by hydrolase activity (hydrolyzing O-glycosyl compounds)  
16 (GO:0004553), carbohydrate metabolic process (GO:0005975), channel activity  
17 (GO:0015267), substituted mannan metabolic process (GO:0006080), mannan endo-  
18 1,4-beta-mannosidase activity (GO:0016985), and chromatin remodeling  
19 (GO:0006338).

20 The analysis of KEGG pathway was also performed to identify metabolic pathways  
21 involving *Pst* DEGs (Supplementary Table S6; Fig. S4). As a result, DEGs abundant in  
22 barberry were mainly enriched in Starch and sucrose metabolism (ko00500),  
23 Melanogenesis (ko04916), cAMP signaling pathway (ko04024), Aldosterone synthesis  
24 and secretion (ko04925), and Kaposi sarcoma-associated herpesvirus infection  
25 (ko05167); and DEGs abundant in wheat were mainly enriched in MAPK signaling  
26 pathway (ko04011), transcription factors (BR:ko03000), protein phosphatases and

1 associated proteins (BR:ko01009), and pentose and glucuronate interconversions  
2 (ko00040).

### 3 **Expression profile of CSEP repertoires in wheat and barberry and six BEEs** 4 **suppress BAX-induced cell death in tobacco**

5 The expression patterns of 230 differentially expressed CSEP genes were clustered  
6 (Fig. 5; Supplementary Table S4). Apparently, most of CSEP genes abundant in wheat  
7 fall into the clade I representing genes with higher expression levels in wheat at 1 or 2  
8 dpi than that in barberry at 3 or 4 dpi. Unsurprisingly, nearly all WEEs (24 out of 26)  
9 were identified as differentially expressed CSEP genes and attributed to clade I, and  
10 there were 7 differentially expressed CSEP genes abundant in wheat belonged to  
11 WBEEs because of their absolute higher expression in both hosts. Similarly, clade II  
12 contains all the 174 differentially expressed CSEP genes abundant in barberry, in which  
13 151 genes belonged to 321 BEEs.

14 One of the most important function of pathogen effectors is to suppress the host  
15 immune response, such as the hypersensitive cell death. A number of *Pst* effectors  
16 identified from *Pst*-wheat interaction have been proven to function in suppression of  
17 wheat cell death (Qi et al. 2019a; Xu et al. 2019). Since no obvious cell death of  
18 barberry leaves was observed during the *Pst* infection, we speculated that those BEEs  
19 might play similar roles in barberry as in wheat. To validate their role in the suppression  
20 of cell death, 60 BEEs were transiently expressed in tobacco together with BAX, which  
21 has been shown to be an inducer of plant cell death. Finally, six BEEs were proven to  
22 inhibit BAX-induced cell death in tobacco (Fig. 6), suggesting their critical role in  
23 impairing the plant immune system.

### 24 **Expression patterns of host cell wall degrading genes between wheat and barberry**

1 Plant cuticle and cell wall are the first barriers to block the infection of *Pst*. To  
2 achieve successful penetration, *Pst* releases a set of cell wall and cuticle degrading  
3 enzymes, such as pectinase, cellulase, hemicellulase and cutinase. The genes encoding  
4 these enzymes were identified and their expression levels were compared during the  
5 infection of wheat and barberry (Fig. 7A). Seven genes encoding pectinases were  
6 identified and all of them were expressed in barberry, while they were nearly  
7 undetectable in wheat leaves. Among seven genes encoding cellulase, three had little  
8 or no expression in both hosts, and four were highly expressed in barberry and one was  
9 moderately expressed in wheat. For ten genes encode hemicellulases, all of them were  
10 expressed in barberry at moderate or low levels while none of them was detected in the  
11 wheat. Remarkably, among the eight genes encoding secreted cutinases, two were  
12 highly expressed in wheat and five were exclusively expressed in barberry. Since it was  
13 reported that the abundance of genes encoding cell wall degrading enzymes in fungal  
14 genome were related to the host cell wall compositions (O'Connell et al. 2012), we  
15 measured the contents of cellulose, hemicellulose, pectin and lignin of wheat and  
16 barberry leaves to test if they are connected with the expression levels of the  
17 corresponding degradation genes (Fig. 7B). The results revealed a higher content of  
18 pectin in barberry leaves, which may be the reason for the extremely high  
19 transcriptional level of *Pst* pectinase genes during the infection of barberry. However,  
20 although with higher expression levels of *Pst* cellulase and hemicellulase genes in the  
21 infected leaves of barberry, there was no significant difference in the content of  
22 cellulose and hemicellulose between the two hosts. In general, *Pst* uses different sets of  
23 CAZymes depending on the host.

#### 24 **Expression profiles of nutrient transporters in wheat and barberry**

25 As an obligate biotrophic pathogen, *Pst* needs to uptake nutrients from living cells

1 of its host through different kinds of transporters located in the haustorial membrane  
2 (Voegelé et al. 2011). To dissect the mechanisms by *Pst* nutrient acquisition from wheat  
3 and barberry, transcriptional profiles of genes involving hexose and amino acid  
4 transporters were analyzed. Among the 35 hexose transporter genes identified in *Pst*,  
5 most of them were expressed in both wheat and barberry (with a threshold of average  
6 TPM value  $\geq 2.0$  for at least one time point) and exhibited no apparent difference in  
7 expression levels between the two hosts (Supplementary Fig. S3). However, three  
8 hexose transporter genes, *PSTCY32\_06236*, *PSTCY32\_07224* and *PSTCY32\_13723*,  
9 were preferentially expressed in wheat and one hexose transporter encoding gene  
10 *PSTCY32\_05623* was preferentially expressed in barberry (Supplementary Fig. S3).  
11 For the 25 amino acid transporter genes identified in *Pst* genome, two ACT family  
12 members genes, *PSTCY32\_06701* and *PSTCY32\_00575* were found to be differentially  
13 expressed and abundant in barberry (Supplementary Fig. S4). However, an amino acid  
14 transporter encoding gene from LAT family, *PSTCY32\_26693*, exhibited higher  
15 expression levels during the infection of wheat than that of barberry (Supplementary  
16 Fig. S4).  
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## 1 DISCUSSION

2 The in-depth transcriptomic profiling of *Pst* presented in this study allows a  
3 comprehensive understanding of common and diverse mechanisms of *Pst* pathogenesis  
4 on its two hosts. By constructing normalized cDNA libraries of *Pst* infected wheat and  
5 barberry leaves, we obtained sufficient sequencing depth (43.0x to 62.7x) to compare  
6 these transcriptomes of *Pst* during early stages of infection. The proportion of reads  
7 mapped to the *Pst* reference genome ranged from 9.80% to 19.79%. This is much higher  
8 than that in similar studies (Dobon et al. 2016). On this basis, genes expressed in wheat  
9 and/or barberry during *Pst* infection were identified by qualitative analysis. Overall,  
10 18,328 genes were expressed in at least one sample, and more genes were detected in  
11 barberry than in wheat, suggesting that more genes or gene products are involved in  
12 barberry infection and a more complex interaction between *Pst* and its aecial host  
13 barberry. This finding could at least partially be explained by the fact that *Pst* sexual  
14 reproduction on barberry was more complicated than asexual multiplication on wheat.

15 The interactions of asexual urediniospores and telial host have been extensively  
16 studied (Dobon et al. 2016; Garnica et al. 2013; Schwessinger et al. 2018). However,  
17 little was known about the transcriptome of rust fungi at sexual stage. Only a few  
18 transcriptomic studies have focused on basidiospores during the infection of its aecial  
19 host. Although at a limited scale, the transcriptome across all life cycle stages of  
20 *Puccinia triticina* was estimated and expression profiles of CSEPs in its two hosts  
21 wheat and meadow rue (*Thalictrum speciosissimum*) were assessed (Cuomo et al. 2017).  
22 In another study, transcriptomes of *Cronartium ribicola* aeciospores, urediniospores  
23 and two infection stages on the aecial host (western white pine) were compared, while  
24 no infection stage on the telial host (*Ribes nigrum*) was included (Liu et al. 2015). For  
25 *M. larici-populina* (*Mlp*), the causal agent of poplar rust, transcriptomic data for basidia,

1 infected larch needles, urediniospores, and infected poplar leaves were compared  
2 (Lorrain et al. 2018). Overall, 1,436 and 1,531 genes were found to be specifically  
3 expressed at the sexual and the asexual stage, respectively. In the present study, time  
4 points representing haustoria and secondary hyphal formation (4 dpi of basidiospores  
5 on barberry and 2 dpi of urediniospores on wheat) were chosen to represent the early  
6 stage of *Pst* infection. The time point choice of the sampling provided comparable  
7 parallel datasets and allowed us to determine gene expression more precisely and  
8 identify host-specific expressed genes of significance for infection. Although a set of  
9 *Pst* genes have been found to be host-specific, more genes were simultaneously  
10 detected during the two different host colonization, despite that these two plants being  
11 phylogenetically distant. This finding was consistent with the transcriptomic study on  
12 the infection of poplar and larch by *Mlp* (Lorrain et al. 2018).

13        Effectors are key players for pathogenicity. The significant overrepresented CSEPs  
14 in WEGs or BEGs (18.3% and 12.0% vs overall CSEP proportion of 3.2%) were  
15 observed. Similarly, a relative high proportion of small secreted proteins (SSP) was  
16 found to be enriched in highly expressed genes specific to poplar or larch. These results  
17 suggested that CSEPs may also contribute to the host specificity. Actually, a large  
18 number of research results have proven the roles of pathogen CSEPs in host specificity  
19 (Borah et al. 2018). For example, a recent study demonstrated that several effector  
20 proteins recognized by corresponding resistance genes in barley or related grass  
21 determine the host range of powdery mildew pathogen (Bourras et al. 2019).

22        In this study, a quantitative analysis was performed to allow us to compare the  
23 transcriptional levels of different sets of pathogenic genes during the infection of two  
24 hosts. In general, the transcription patterns in different hosts were consistent with the  
25 data obtained in qualitative analysis using normalized library. Additionally, no obvious  
26 difference was observed between the *Pst* transcriptome at 1 dpi and 2 dpi in wheat, as



1 well as between 3 dpi and 4 dpi in barberry. However, there are still some genes  
2 exhibited clear transition in expression during the early infection, such as three WEEs  
3 (PSTCY32\_00469, PSTCY32\_18558, and PSTCY32\_20164) which have sharp  
4 increased expression levels from 1 to 2 dpi. These effector genes may have critical roles  
5 during the initial penetration of wheat by *Pst*.

6 To successfully penetrate into plant tissue and cell, a rust fungus needs to secrete  
7 different sets of enzymes (glycohydrolase) to degrade plant cuticle and cell wall. During  
8 the *Pst* infection of barberry, most of the pectinase genes, as well as cellulose and  
9 hemicellulose genes, expressed with high levels while no or very low levels were  
10 detected during the infection of wheat. This might be due to the higher content of pectin  
11 in barberry cell wall, but a more possible explanation would be the extra-thick outer  
12 wall of epidemic cells compared to the mesophyll cells (Amanda et al. 2016). In  
13 addition, it was unexpected that two cutinases were highly expressed in wheat while  
14 *Pst* penetrated into wheat leave tissue through stomata. A reasonable explanation  
15 should be that the wheat cuticle destroyed by cutinases may facilitate the adhesion of  
16 the urediniospores germ tubes on the leaf surface, as what had been found in broad bean  
17 rust (Deising et al. 1992; Schafer, 1993). The adhesion of germlings on epidermis of  
18 wheat leaves are of extra significance since no appressoria were formed during the *Pst*  
19 infection of wheat (Moldenhauer et al. 2006). Thus, *Pst* uses different sets of CAZymes  
20 not only depending on host cell-wall composition, but also related to the host-tissue  
21 penetration mechanisms of basidiospores and urediniospores. Conversely, more  
22 common genes involved nutrient absorption were expressed both in wheat and in  
23 barberry, indicating that *Pst* uses a similar way to absorb nutrients from wheat and  
24 barberry.

25 A heteroecious grass rust requires two phylogenetically different hosts to complete

1 their life cycle. Usually, they undergo sexual reproduction on one plant species and  
2 asexual propagation on another unrelated plant species. However, it has long been  
3 unclear that the biological significance of this life style and the relationship of the two  
4 hosts. By the combination of phylogenetic and hologenetic analysis, Leppik et al. (1959)  
5 postulated that the aecial host of a cereal rust fungus, *Berberidaceae* and *Rhamnaceae*  
6 or other arboreous families of primitive angiosperms, might be the primary host, and  
7 graminaceous plants are the secondary host of a heteroecious grass rust fungus.  
8 Whether the telia or the aecial stage is more primitive and ancestral for a grass rust  
9 fungus remains considerably controversial due to the lack of experimental evidence. In  
10 our study, over 300 effectors were specifically expressed in barberry, and a higher  
11 proportion of conserved genes were preferentially expressed in barberry than that in  
12 wheat. These results implied a long co-evolutionary relationship between *Pst* and  
13 barberry. As a matter of fact, *Berberidaceae* plants, belonging to primitive angiosperms  
14 and originating from 146-113 million years ago, are evolutionarily older than grasses  
15 (*Poaceae* originates from 65-110 million years ago)  
16 (<https://www.mobot.org/MOBOT/research/APweb/>), which makes them interact with  
17 rust fungi earlier according to the coevolutionary relationship between a rust fungus  
18 and its host (Aime et al. 2018). Furthermore, besides of *P. striiformis*, *Berberis* spp. are  
19 aecial hosts of a wide range of grass rust fungi, including at least seven *Puccinia* spp.  
20 (e.g. *P. graminis*, *P. striiformis*, *P. montanensis*, *P. brachypodii*, *P. pigmea*, *P.*  
21 *koeleriae*, and *P. arrhenatheri*) (Bartaula et al. 2019, Huang et al. 2019). If barberry as  
22 the primary host for *Pst* is accepted, it will raise a question that how the ancestor of  
23 barberry rust fungi completed their life cycle since the aeciospores produced on  
24 barberry could not come back (Jiao et al. 2017). One possibility would be that the  
25 ancestor of a barberry rust fungus had a more ancient telial host than *Berberidaceae*,

1 such as *Cupressacea*. Another possibility is that the ancient rust fungus spent all their  
2 lives on barberry like *Cumminsella mirabilissima*, which produces all five types of  
3 spores on mahonia, a kind of *Berberidaceae* plant that is closely related to barberry and  
4 can serve as the aecial host of *Pst* (Ruske and Dörfelt 2010; Wang and Chen, 2013).  
5 Several studies have reported that uredia and telia of *P. graminis* f. sp. *tritici* can be  
6 produced on barberry under natural and laboratory conditions (Critopoulos 1947;  
7 Newton and Johnson 1937). Thus, the ancestor of barberry rust fungi may have adapted  
8 themselves to the emerging grasses in the neighborhood and switched the telial stage to  
9 them. Altogether, the analysis of orthologous genes for host-specific expressed genes  
10 in this study offers a new perspective for origin and evolution study of rust fungi.

11 So far, numerous *Pst* effectors have been identified and their pathogenic roles in  
12 pathogen-wheat interaction have been extensively revealed (Cheng et al. 2015; Cheng  
13 et al. 2017; Qi et al. 2019a; Xu et al. 2019; Yang et al. 2020; Zhao et al. 2018). With  
14 the knowledge of preferential or specific expression in wheat or barberry, we identified  
15 6 BEEs that were capable of suppression BAX-induced cell death in tobacco. Future  
16 functional characterization of these genes may help to develop resistance barberry  
17 materials by host-induced gene silencing strategies (Qi et al. 2019b). In addition, those  
18 candidate effectors expressed in both hosts may represent “core effectors” that  
19 conserved among rust fungi and play key roles in the rust basic pathogenicity. More  
20 attentions should be paid to the in-depth study of these “core effectors” to discover the  
21 molecular basis for rust pathogenicity.

## 22 **Experimental Procedures**

### 23 **Plant materials and inoculation**

24 Seedlings of the *Pst*-susceptible wheat cultivar MX169 were grown in the

1 greenhouse at 16 °C and 16:8 light:dark cycle. Ten-day old seedlings were inoculated  
2 with fresh urediniospores of *Pst* strain CYR32 and V26, and incubated for 24 h in 100%  
3 humidity at 9 °C in the dark. Barberry (*Berberis shensiiana*) plants were grown in under  
4 70% relative humidity at 16 °C and 16:8 light:dark cycle. Three to four month old  
5 barberry seedlings were inoculated with CYR32 and V26 basidiospores produced from  
6 germinating teliospores according to the method described by Zhao J. et al. (2013).

### 7 **Histological treatment and observation**

8 The wheat leaf segments and barberry leaf discs infected by *Pst* were fixed and  
9 decolorized in decolorizing solution (ethyl alcohol:acetic acid, 1:1 v/v) and followed  
10 by immersed overnight in chloral hydrate. The decolorized samples were then  
11 autoclaved in 1.5 ml of 1M KOH at 121 °C for 5-6 min. Those samples were washed  
12 three times with 50 mM Tris-HCl (pH 7.4) for 15 min and stained with 20 µg/ml wheat  
13 germ agglutinin (WGA) conjugated to Alexa-488 solution (cat. no. W11261, Thermo  
14 Fisher Scientific). The fluorescent stained samples were observed under blue-light  
15 excitation (excitation wavelength 450–480 nm, emission wavelength 515 nm) using an  
16 Olympus BX-53 microscope (ocular: 10×; objective: 20×) and CellSens Entry software  
17 (version: V1.7). Penetration frequencies for *Pst* urediniospores on wheat leaves  
18 represent the percentage of germinated spores penetrating the mesophyll cells and  
19 forming haustoria. Penetration frequencies for *Pst* basidiospores on barberry leaves  
20 represent the percentage of germinated spores penetrating into epidermal cells and  
21 forming ovate intra-epidermal vesicle.

## 1 **RNA extraction, library preparation, and sequencing**

2 Entire leaf tissue was taken from barberry plants at 3 and 4 days after inoculation  
3 and wheat plants at 1 and 2 days post inoculation, and then immediately frozen in liquid  
4 nitrogen. Frozen leaf samples were then ground in liquid nitrogen, and total RNA was  
5 isolated using the QIAGEN (Doncaster, Australia) Plant RNeasy kit following the  
6 manufacturer's instructions. RNA was checked for integrity on an Agilent 2100  
7 Bioanalyzer. First strand cDNA was synthesized using random hexamer primer and M-  
8 MuLV Reverse Transcriptase. Normalized and non-normalized cDNA libraries were  
9 generated for qualitative and quantitative analyses of *Pst* transcriptome. For qualitative  
10 analysis, normalized cDNA libraries was constructed using the DSN (Duplex-specific  
11 nuclease)-normalization technology with a high gene discovery rate (Bogdanova et al.  
12 2008). Briefly, after denaturation of double-stranded (ds) cDNA flanked with adapters,  
13 it was subjected to renature at 68°C, and treated with DSN (evrogen Russia). During  
14 renaturation, abundant transcripts are converted to the double-stranded form more  
15 effectively than those that are less frequent. Thus, two fractions are formed, specifically,  
16 a ds-fraction of abundant cDNA and a normalized single-stranded (ss) cDNA. The ds  
17 cDNA fraction is then degraded by DSN. After that, the undigested fragments were  
18 then amplified by long distance polymerase chain reaction (PCR). The products were  
19 then random fragmented and adapters for Illumina sequencing were ligated. Finally, the  
20 resulting tagged cDNA libraries were used for 150-bp paired-ends sequencing on the  
21 Illumina Hi-Seq 2000 Platform (Illumina, San Diego, CA, USA). For quantitative

1 analysis, non-normalized cDNA libraries were constructed following the manufacturers'  
2 instructions of RNA transcriptome discovery Kit (K02421-TS, Gnomegen). Amplified  
3 cDNA fragments were then used for 150-bp paired-ends sequencing on the Illumina  
4 Hi-Seq 2000 Platform.

### 5 **Gene expression analysis and orthologous gene identification**

6 Raw reads were processed to trim adapter sequences and remove low-quality  
7 sequences with Q20 filtering using Trimmomatic v0.32 (Bolger et al. 2014) with default  
8 settings, and then evaluated by Fastqc v0.10.0 (Andrews et al. 2010). After adapter  
9 trimming and quality trimming, the clean reads were mapped to the updated reference  
10 genome of *Pst* strain CYR32 (Zheng et al. 2013) using tophat2 (v2.1.0) with Bowtie2  
11 (Kim et al. 2013). Novel transcripts were identified using Cufflinks (version 2.2.1) in  
12 “reference annotation based transcript assembly” mode with sequence bias correction  
13 enabled. Then, the transcript abundances (inferred in transcript per million, TPM) were  
14 calculated by Samtools (Li et al. 2009).

15 Expression levels from normalized samples were used to characterize host-specific  
16 expressed genes. *Pst* gene with a TPM values above 2.0 in wheat and below 2.0 in  
17 barberry, and the ratio between two host above 5.0 for both *Pst* isolates were defined as  
18 wheat-specific expressed genes (WEGs). Likewise, gene with a TPM values above 2.0  
19 in barley and below 2.0 in wheat, and the ratio between two hosts above 5.0 for both  
20 *Pst* isolates were defined as barberry-specifically expressed genes (BEGs). Genes with  
21 TPM values above 2.0 in both hosts were designated as WBEGs (wheat and barberry

1 expressed genes). For orthologous gene identification, the protein sequence of each  
2 host-specifically expressed gene was used as query to search against each other  
3 Basidiomycetes genome data using BLASTP with an E-value below  $10^{-3}$ .

4 Differential expression analyses were performed for *Pst* genes with the edgeR  
5 R/Bioconductor package (Robinson et al. 2010). The read counts were first normalized  
6 using the TMM (trimmed mean of M-values) method of the edgeR and then the  
7 logarithm of the CPM (counts per million) to the base 2 were used for further statistical  
8 analysis using the Limma R/Bioconductor package. Differentially expressed genes  
9 (DEGs) were identified based on a fold change  $\geq 2$  and an FDR (false-discovery-rate)  
10  $\leq 0.05$  between samples.

#### 11 **Identification of effector genes, cell wall degradation genes and transporter genes**

12 Candidate secreted effector proteins were predicted using bioinformatics software  
13 according to their biological characteristics. Briefly, *Pst* secreted proteins carrying a  
14 signal peptide (SP) were identified by SignalP 4.0 (Petersen et al. 2011) with D-cutoff  
15 value of 0.34. Then transmembrane proteins (contains at least one transmembrane  
16 domain after the first 60 amino acids or at least two transmembrane domains in total)  
17 were predicted by TMHMM 2.0 (Krogh et al. 2001) and excluded from the *Pst*  
18 secretome. Finally CSEPs were predicted by EffectorP v2.0 (Sperschneider et al. 2018).

19 To identify cell wall degradation proteins, *Pst* protein sequences were subjected to  
20 dbCAN2 meta server to identify secreted CAZymes by HMMER program  
21 (<http://bcb.unl.edu/dbCAN2/index.php>) (Zhang et al. 2018). Proteins annotated as GH6,

1 GH7, GH12, GH45, GH61, GH74, and GH94 were attributed to the cellulase. Similarly,  
2 CE1, GH10, GH11, GH26, GH29, GH43, GH51, GH53, GH54, GH62, GH67, and  
3 GH93 belong to hemicellulase. CE8, PL1, PL2, PL3, PL4, PL9, PL10, GH28, GH78,  
4 and GH88 belong to pectinase. While proteins annotated as CE5 CAZymes belong to  
5 cutinase (Zhao Z. et al. 2013).

6 To identify *Pst* hexose transporters, the protein sequences of yeast (*Saccharomyces*  
7 *cerevisiae*) hexose transporters HXT1~HXT7 (Luyten et al. 2002) were used as queries  
8 to search against the CYR32 genome using the tBLASTn program. Finally, 35 *Pst*  
9 hexose transporter genes with *P*-value less than 10e-3 were identified. Likewise, using  
10 amino acid transporter proteins reported in a previous article as seed sequences (Struck  
11 2015), we identified 25 amino acid transporter genes in the CYR32 genome.

## 12 **Go and KEGG analysis of *Pst* DEGs during the infection of wheat and barberry**

13 GO annotation was performed by searching 29,544 *Pst* protein sequence against  
14 four databases including pfam, PANTHER, CDD and COIL using Interproscan v5.39-  
15 77.0. KEGG annotation was performed by online tools KAAS  
16 ([https://www.genome.jp/kaas-bin/kaas\\_main](https://www.genome.jp/kaas-bin/kaas_main)). Then the R package of clusterProfiler  
17 were used for enrichment analysis (Yu et al. 2012).

## 18 **Quantitative determination of cell wall composition**

19 Wheat and barberry leaf samples at 2-week stage and six-month stage respectively  
20 were collected and dried to a constant weight at 50°C. Then the dried leaf samples (0.1  
21 g) were ground into fine powders, and the cell wall materials (CMW) were extracted



1 by removing the alcohol-soluble components and starch using ethanol/acetone  
2 extraction and  $\alpha$ -Amylase digestion according to the methods described by Pettolino et  
3 al. (2012).

4 Total cellulose contents of the cell wall from wheat and barberry leaf samples were  
5 measured using the colorimetric Anthrone assay, according to the method as previously  
6 reported (Updegraff 1969; Foster et al. 2010b). Briefly, the obtained CWM was firstly  
7 hydrolyzed by 2 M trifluoroacetic acid (TFA). After centrifugation, the precipitate and  
8 supernatant were used for cellulose and hemicellulose analyzing, respectively. For  
9 cellulose measurement, the precipitate was incubated with 1 mL Updegraff reagent  
10 (Acetic acid: itric acid: water, 8:1:2 v/v) at 100°C for 1 h. and pretreated with 72%  
11 sulfuric acid for Saeman hydrolysis. For hemicellulose measurement, the supernatant  
12 was directly treated with 72% sulfuric acid. Then the released glucose was reacted with  
13 0.2% Anthrone reagent at 100°C for 10 min, and the resulting blue reaction products  
14 were measured at 620 nm wave length. The contents of cellulose and hemicellulose  
15 were presented as equivalents of glucose used in the standard curve.

16 Total pectin were extracted from CWM with ammonium oxalate, and pectin  
17 contents were determined according to the colorimetric method at wave length of 520  
18 nm as described by Blumenkrantz and Asboe-Hansen (1973). The contents of pectin  
19 were presented as equivalents of galacturonic acid used as the standard.

20 The lignin content of the leaf samples was determined using the acetyl bromide  
21 method as previously reported (Hatfield et al. 1999; Foster et al. 2010b). Lignin was

1 quantified with the acetyl bromide method using absorbance values at 280 nm. An  
2 extinction coefficient of 17.75 was used to calculate the lignin content of all samples.

3 All the absorbance measurement were performed by a Synergy H1 Hybrid Multi-  
4 Mode Microplate Reader (BioTek instrumnets, USA). Four biological replicates were  
5 performed for each experiment. T-tests were used to assess statistical significance.

### 6 ***Agrobacterium tumefaciens* infiltration assays**

7 To screen *Pst* candidate effectors that can suppress the Bax-induced cell death in  
8 *N. benthamiana*, we infiltrated *A. tumefaciens* cells containing pGR107-PVX  
9 constructs expressing candidate effector genes or GUS genes (as a control) into leaves  
10 followed 24 h later by inoculation at the same site with *A. tumefaciens* cells carrying  
11 pGR107-PVX-Bax. Symptoms were observed and recorded at 5 dpi. For protein  
12 extraction, *N. benthamiana* leaves were frozen in liquid nitrogen 2 days after  
13 agroinfiltration and ground to a fine powder with a mortar and pestle. Protein extraction  
14 and nuclear-cytoplasmic fractionation were performed as described by Wang et al.  
15 (2011).

16

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3 Institute for Phytomedicine, University of Hohenheim, German.

4

## 5 **Data Availability Statement**

6 The data that support the findings of this study are openly available in NCBI  
7 Sequence Read Archive, reference number PRJNA637808.

8

## 9 **Author Contributions**

10 Jing Zhao, Jie Zhao and Zhensheng Kang conceived and designed the experiment. Long  
11 Wang, Song Tian and Hua Zhuang prepared the leaf samples for sequencing. Wanlu  
12 Duan and Yiwen Xu measured the concentration of cell wall components and screened  
13 the candidate effectors in tobacco. Ce Zhang performed the histological observations.  
14 Jing Zhao, Jierong Wang and Guoliang Pei analyzed the data. Jing Zhao and Zhensheng  
15 Kang wrote the paper. All the authors have seen and approved the manuscript in final  
16 version prior to submission.

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1 **Table 1.** RNA-based sequence alignments against *Pst* CYR32 reference genome for  
2 normalized samples

<b>Sample</b>	<b>Barberry infected by CYR32</b>	<b>Barberry infected by V26</b>	<b>Wheat infected by CYR32</b>	<b>Wheat infected by V26</b>
Total reads	47893032	49554386	48957451	32164691
Total mapped	6250446(13.05%)	4856748(9.80%)	7081825(14.47%)	6365045(19.79%)
Total unmapped	41642586(86.95%)	44697638(90.20%)	41875626(85.53%)	25799646(80.21%)
Unique match	782080(1.63%)	398277(0.80%)	360634(0.74%)	150279(0.47%)
Mutliple match	5468366(11.42%)	4458471(9.00%)	6721191(13.73%)	6214766(19.32%)
Perfect match	5621223(11.74%)	4515399(9.11%)	6584063(13.45%)	5745820(17.86%)
<=5bp mismatch	591367(1.23%)	316156(0.64%)	485213(0.99%)	501987(1.56%)
Unique matched gene	17180	14032	11285	10554

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1 **Table 2.** Number and proportion of orthologs identified from nine basidiomycetes for  
 2 wheat-specifically expressed genes (WEGs) and barberry-specifically expressed genes  
 3 (BEGs)

Species	WEGs (142)		BEGS (2677)	
	No. of Hits	Proportion (%)	No. of Hits	Proportion (%)
<i>U. maydis</i>	30	21.13	1074	40.12
<i>M. osmundae</i>	30	21.13	1186	44.30
<i>N. fluitans</i>	38	26.76	1231	45.98
<i>M. larici-populina</i>	55	38.73	1535	57.34
<i>C. quercuum</i> f. sp. <i>fusiforme</i>	59	41.55	1554	58.05
<i>U. viciae fabae</i>	67	47.18	1679	62.72
<i>P. triticina</i>	89	62.68	1991	74.37
<i>P. graminis</i> f. sp. <i>tritici</i>	91	64.08	2168	80.99
<i>P. striiformis</i> f. sp. <i>tritici</i> (PST-78)	118	83.10	2400	89.65

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2 **Fig. 1.** Infection processes of *Puccinia striiformis* f. sp. *tritici* (*Pst*) basidiospores and  
3 urediniospores on barberry and wheat.

4 **A,** Microscopic observation of development processes of *Puccinia striiformis* f. sp.  
5 *tritici* (*Pst*) basidiospores and urediniospores on barberry and wheat. **B,** Penetration  
6 frequencies of *Pst* basidiospores and urediniospores on barberry and wheat during the  
7 early stage of infection. Penetration frequencies for *Pst* urediniospores on wheat leaves  
8 (blue) represent the percentage of germinated spores penetrating the mesophyll cells  
9 and forming haustoria. Penetration frequencies for *Pst* basidiospores on barberry leaves  
10 (red) represent the percentage of germinated spores penetrating into epidermal cells and  
11 forming ovate intra-epidermal vesicle. B: Basidiospore; OIV: ovate intra-epidermal  
12 vesicle; SH: secondary hyphae; H: haustorium; SSV: sub-stoma vesicle; HMC:  
13 haustorial mother cell; Bars, 10  $\mu$ m. Each data point represents the mean  $\pm$  SD of 3  
14 replicates (leaves infected by *Pst* isolate CYR32).

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3 **Fig. 2.** Identifying *Puccinia striiformis* f. sp. *tritici* genes specifically expressed in  
4 wheat or barberry.

5 **A,** Venn diagram depicting numbers of *Puccinia striiformis* f. sp. *tritici* (*Pst*) genes  
6 detected from normalized samples. W32 and B32 represent wheat and barberry leaves  
7 infected by isolate CYR32; W26 and B26 represent wheat and barberry leaves infected  
8 by isolate V26. **B,** The proportion of candidate secreted effector protein (CSEP) genes,  
9 hypothetical genes and functional annotated genes in wheat-specifically expressed  
10 genes (WEGs), barberry-specifically expressed genes (BEGs) and wheat-barberry  
11 expressed genes (WBEGs).

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3 **Fig. 3.** *Puccinia striiformis* f. sp. *tritici* genes differentially expressed in wheat or  
4 barberry.

5 Scatter plot representing the relative gene expression levels of 1968 *Puccinia*  
6 *striiformis* f. sp. *tritici* (*Pst*) differentially expressed genes (DEGs) in wheat and  
7 barberry. *Pst* gene expression levels in barberry at 3 dpi (B\_3dpi) vs in wheat at 1 dpi  
8 (W\_1dpi) were plotted on x axis, and gene expression levels in barberry at 4 dpi  
9 (B\_4dpi) vs in wheat at 2 dpi (W\_2dpi) were plotted on y axis. Blue and red dots  
10 represents DEGs abundant in barberry and wheat, respectively. The dot color from light  
11 to deep indicates the adjust *P* value from big to small.

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2 **Fig. 4.** Gene Ontology (GO) enrichment analysis of *Puccinia striiformis* f. sp. *tritici*

3 differentially expressed genes between wheat and barberry.

4 Enrichment analysis of GO terms for *Puccinia striiformis* f. sp. *tritici* (*Pst*)

5 differentially expressed genes (DEGs) abundant in wheat (A) and abundant in barberry

6 (B) ( $p < 0.05$  with FDR correction). The R package of clusterProfiler were used for

7 enrichment analysis (Yu et al. 2012).

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3 **Fig. 5.** Heatmaps of gene expression showing *Puccinia striiformis* f. sp. *tritici* CSEP  
4 genes differentially expressed in wheat and barberry.

5 Hierarchical clustering of the expression levels (TPM values after the logarithm) of  
6 *Puccinia striiformis* f. sp. *tritici* (*Pst*) candidate secreted effector protein (CSEP) genes  
7 differentially expressed in wheat and barberry showing two distinct clades: clade I  
8 represent effector genes with higher expression levels in wheat at 1 or 2 dpi compared  
9 to that in barberry at 3 or 4 dpi; clade II contains most DEGs abundant in barberry.

10 DEGs abundant in wheat which were identified as WEEs (wheat-specifically expressed  
11 effector genes) and WBEEs (wheat-barberry-commonly expressed effector genes) were  
12 in green and purple, while the others were in dark blue. DEGs abundant in barberry are  
13 in black.

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1 **Fig. 6.** Inhibition of BAX-induced cell death by *Puccinia striiformis* f. sp. *tritici* (*Pst*)  
2 barberry-specifically expressed effector genes in tobacco.  
3 *Nicotiana benthamiana* leaves were infiltrated with buffer (MgCl<sub>2</sub>), *Agrobacterium*  
4 *tumefaciens* cells containing a vector carrying *Pst* candidate effector genes and a  
5 negative control gene (eGFP); 24 h later, *A. tumefaciens* cells carrying BAX were  
6 infiltrated at the same position according to the schematic diagram (left panel). The  
7 phenotype were photographed at 5 days post infiltrating with BAX (right panel).  
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4 **Fig. 7.** Expression profiling of *Puccinia striiformis* f. sp. *tritici* secreted cell-wall  
5 degrading enzyme genes and contents of wheat and barberry cell wall components.

6 **A,** Expression levels (TPM values after the logarithm) of *Puccinia striiformis* f. sp.  
7 *tritici* (*Pst*) cell-wall degrading enzyme genes at 1&2 dpi of wheat and 3&4 dpi of  
8 barberry. **B,** Concentration of cell wall components of wheat and barberry leaves.

9 Asterisks represent *Pst* differentially expressed genes in wheat and barberry.

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## 2 **Supporting Information**

3 **Supplementary Fig. S1:** Sequencing saturation curves showing deep RNA sequencing  
4 of *Puccinia striiformis* f. sp. *tritici* for normalized (A) and non-normalized (B) cDNA  
5 library from barberry and wheat leaf samples.

6 **Supplementary Fig. S2:** KEGG enrichment analysis of *Puccinia striiformis* f. sp.  
7 *tritici* differentially expressed genes between wheat and barberry.

8 **Supplementary Fig. S3:** Phylogenetic tree of *Puccinia striiformis* f. sp. *tritici* (*Pst*)  
9 hexose transporters and their expression patterns during the early stage of wheat and  
10 barberry infection.

11 **Supplementary Fig. S4:** Expression patterns of *Puccinia striiformis* f. sp. *tritici* (*Pst*)  
12 amino acid transporters during the early stage of wheat and barberry infection.

13 **Supplementary Table S1:** RNA sequence alignments against *Puccinia striiformis* f.  
14 sp. *tritici* CYR32 reference genome for non-normalized samples.

15 **Supplementary Table S2:** List of 2712 new *Puccinia striiformis* f. sp. *tritici* genes  
16 identified in this study.

17 **Supplementary Table S3:** Gene expression levels of *Puccinia striiformis* f. sp. *tritici*  
18 in normalized wheat and barberry leaf samples.

19 **Supplementary Table S4:** *Puccinia striiformis* f. sp. *tritici* differentially expressed  
20 genes (DEGs) in wheat and barberry during the early stages of infection.

21 **Supplementary Table S5:** GO enrichment analysis of *Puccinia striiformis* f. sp. *tritici*  
22 DEGs abundant in barberry or wheat.

23 **Supplementary Table S6:** KEGG enrichment analysis of *Puccinia striiformis* f. sp.  
24 *tritici* DEGs abundant in barberry or wheat.

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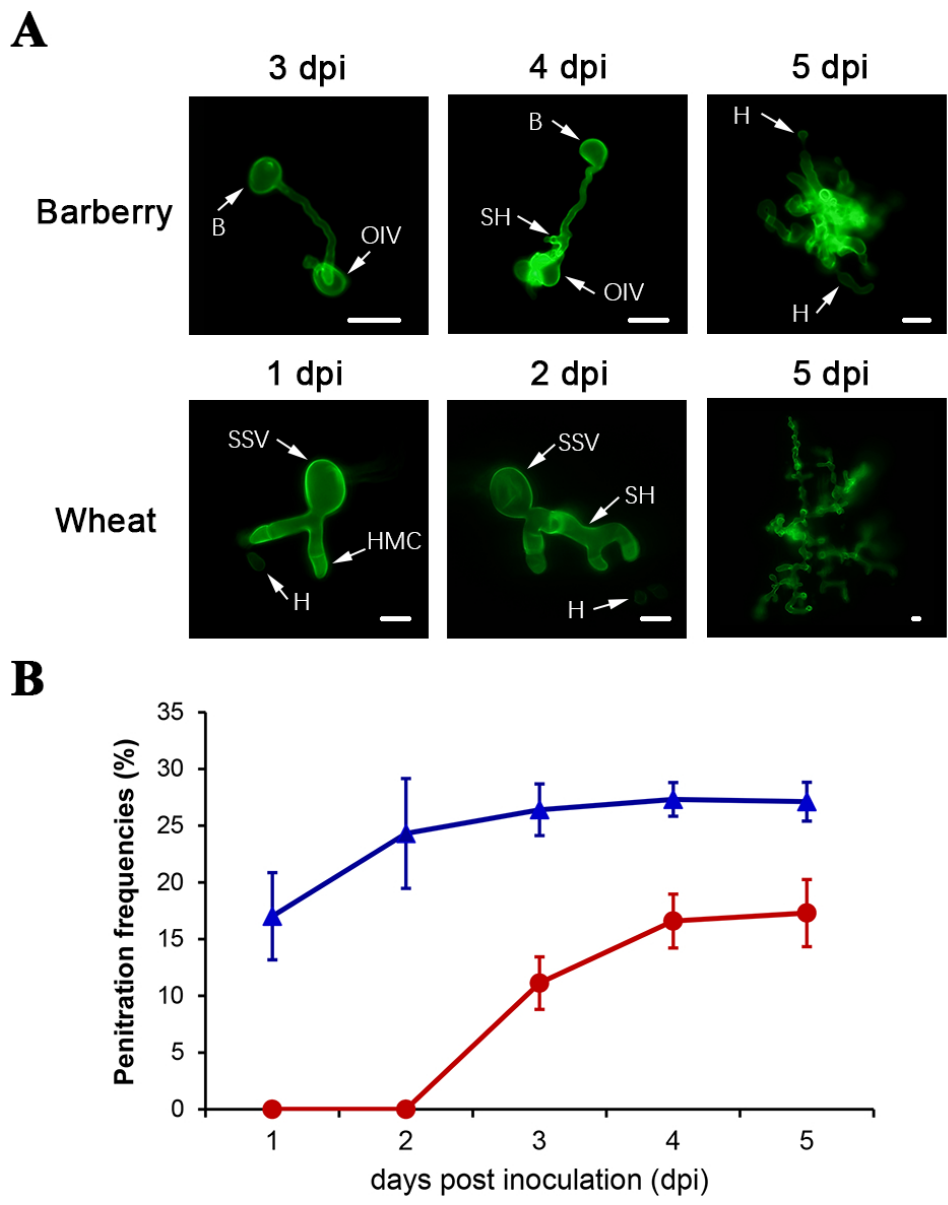


Fig. 1. Infection processes of *Puccinia striiformis* f. sp. tritici (*Pst*) basidiospores and urediniospores on barberry and wheat.

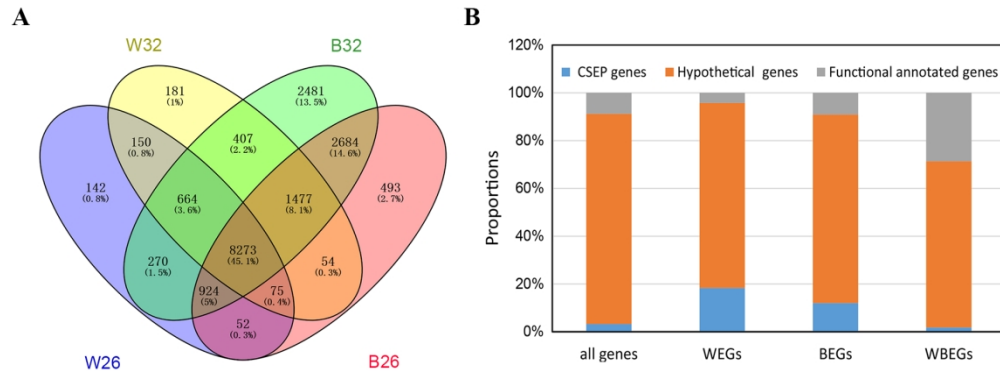


Fig. 2. Identifying *Puccinia striiformis* f. sp. *tritici* genes specifically expressed in wheat or barberry.

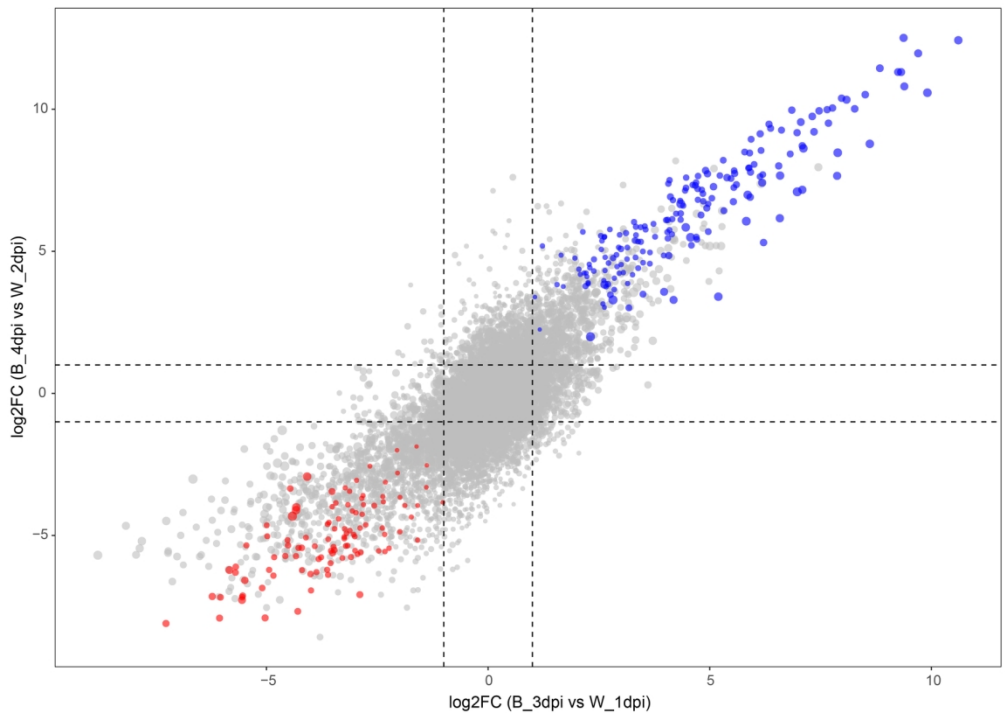


Fig. 3. *Puccinia striiformis* f. sp. tritici genes differentially expressed in wheat or barberry.

74x53mm (600 x 600 DPI)

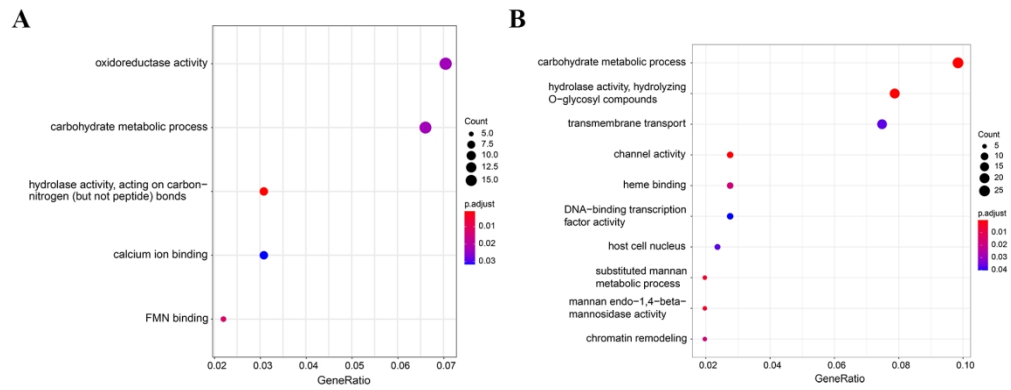


Fig. 4. Gene Ontology (GO) enrichment analysis of *Puccinia striiformis* f. sp. tritici differentially expressed genes between wheat and barberry.

158x60mm (300 x 300 DPI)

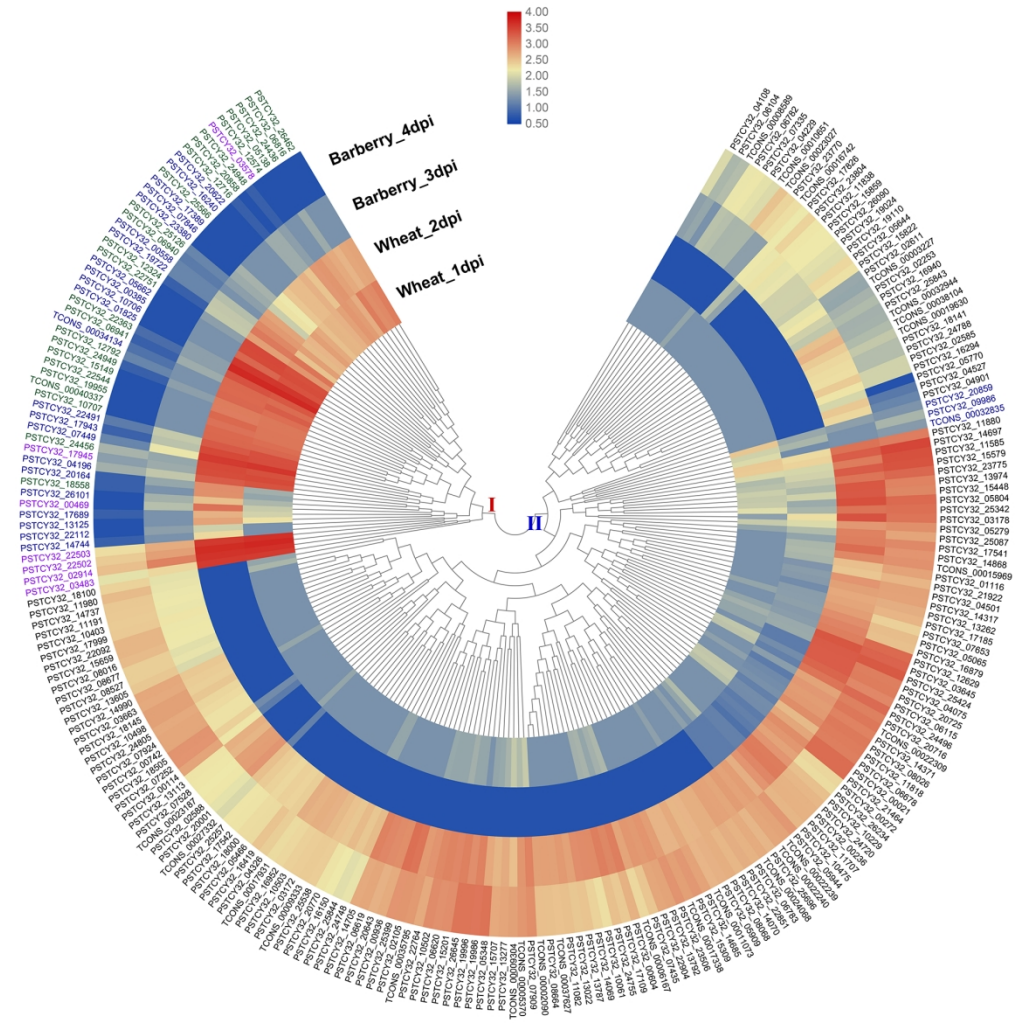


Fig. 5. Heatmaps of gene expression showing *Puccinia striiformis* f. sp. tritici CSEP genes differentially expressed in wheat and barberry.

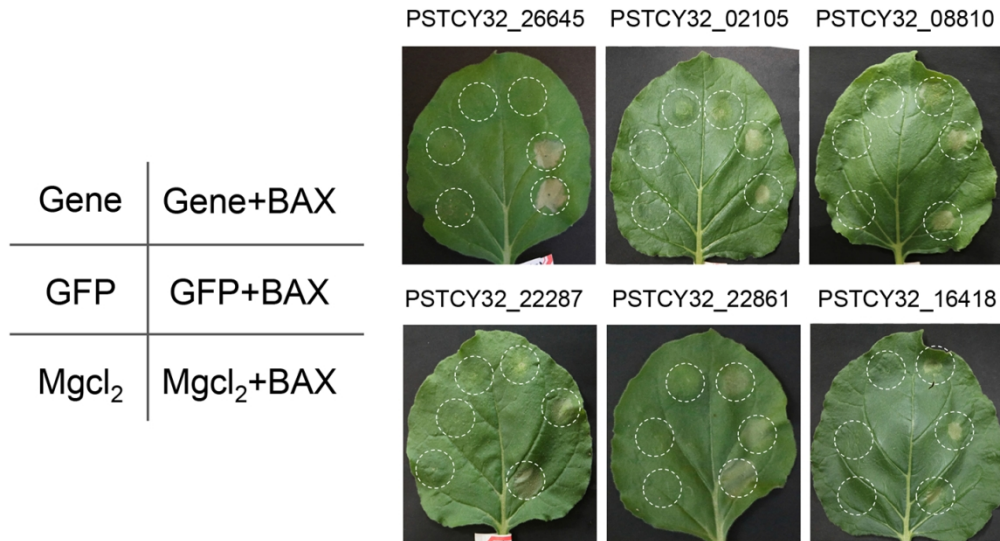


Fig. 6. Inhibition of BAX-induced cell death by *Puccinia striiformis* f. sp. tritici (Pst) barberry-specifically expressed effector genes in tobacco.

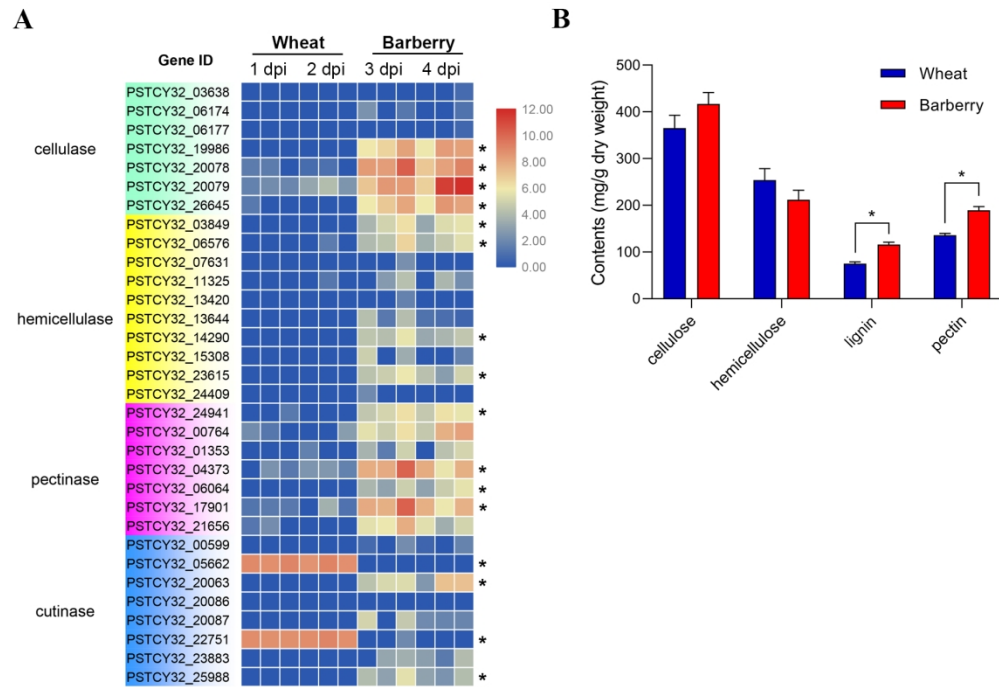
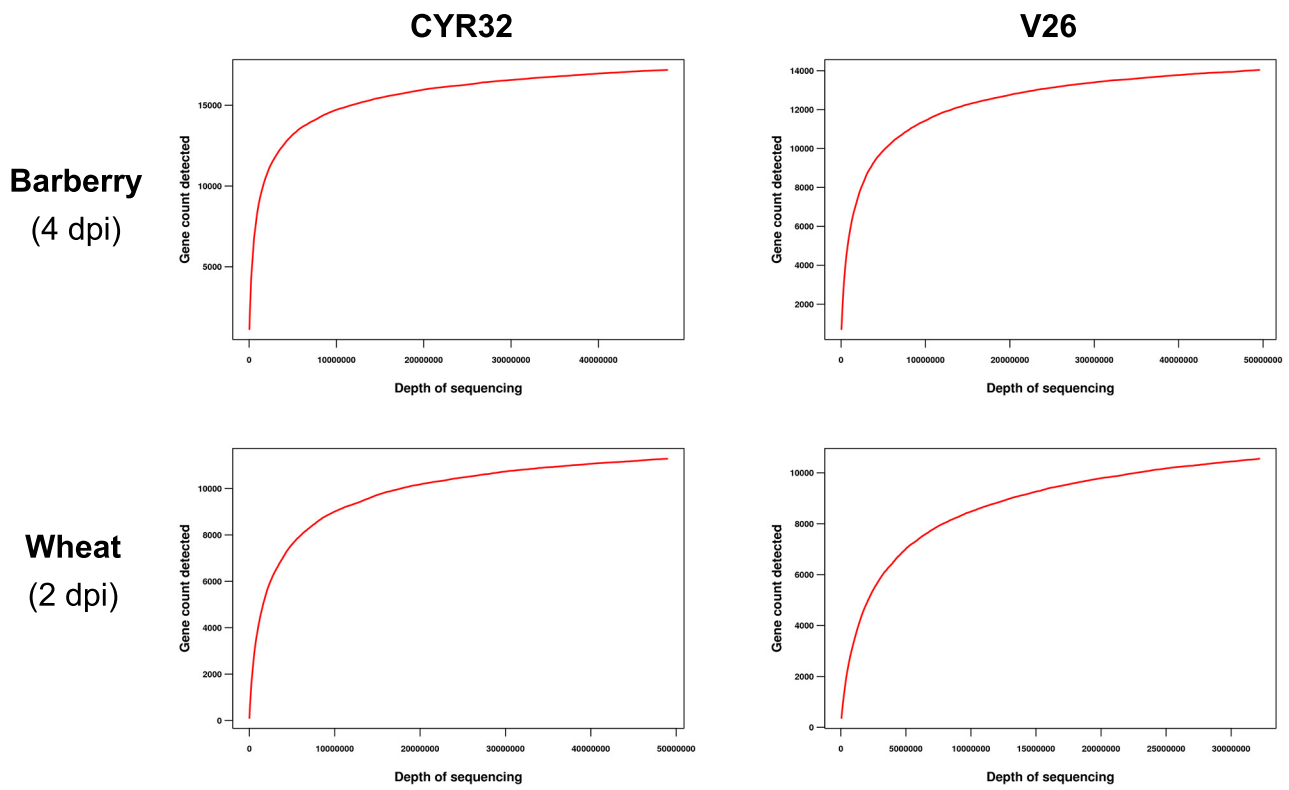
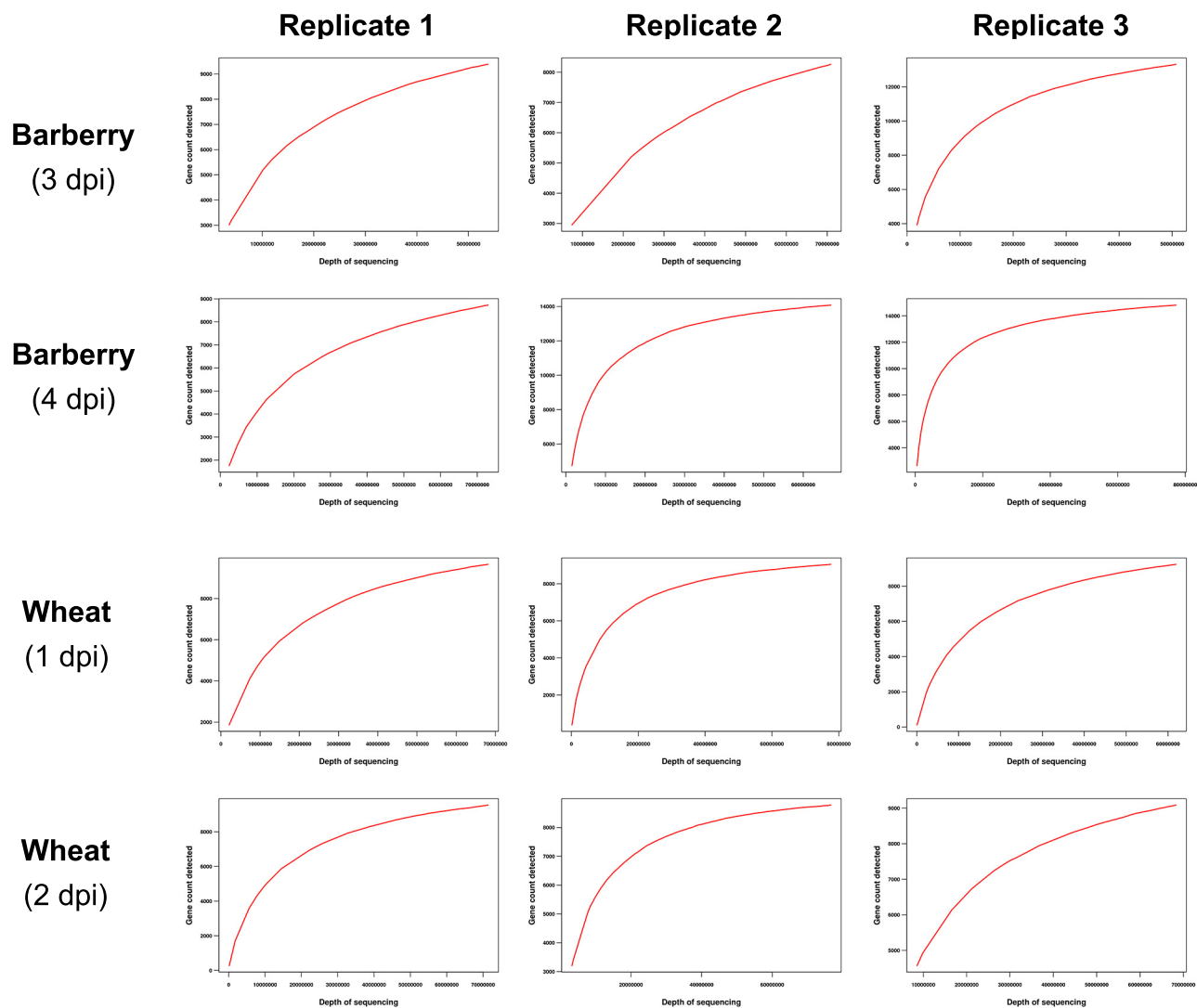


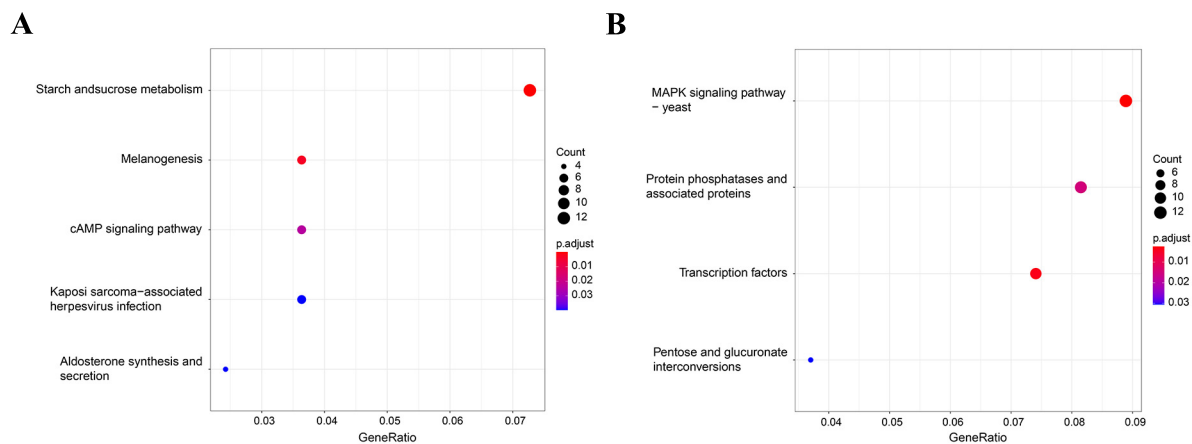
Fig. 7. Expression profiling of *Puccinia striiformis* f. sp. *tritici* secreted cell-wall degrading enzyme genes and contents of wheat and barberry cell wall components.

**A****B**

**Supplementary Figure 1: Sequencing saturation curves showing deep RNA sequencing of *Puccinia striiformis* f. sp. *tritici* (*Pst*) during infection of barberry and wheat leaves.**

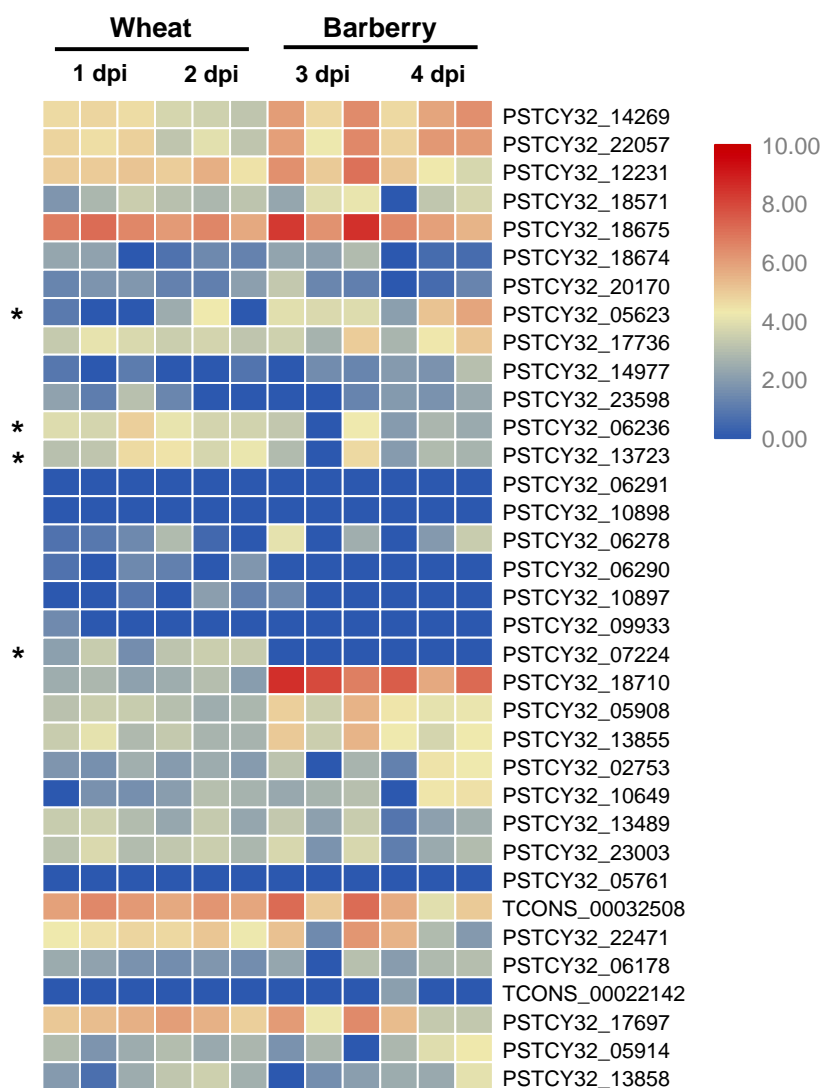
**A**, normalized cDNA libraries from leaf samples infected by *Pst* isolates CYR32 and V26. **B**, non-normalized cDNA libraries from leaf samples infected by isolate CYR32.





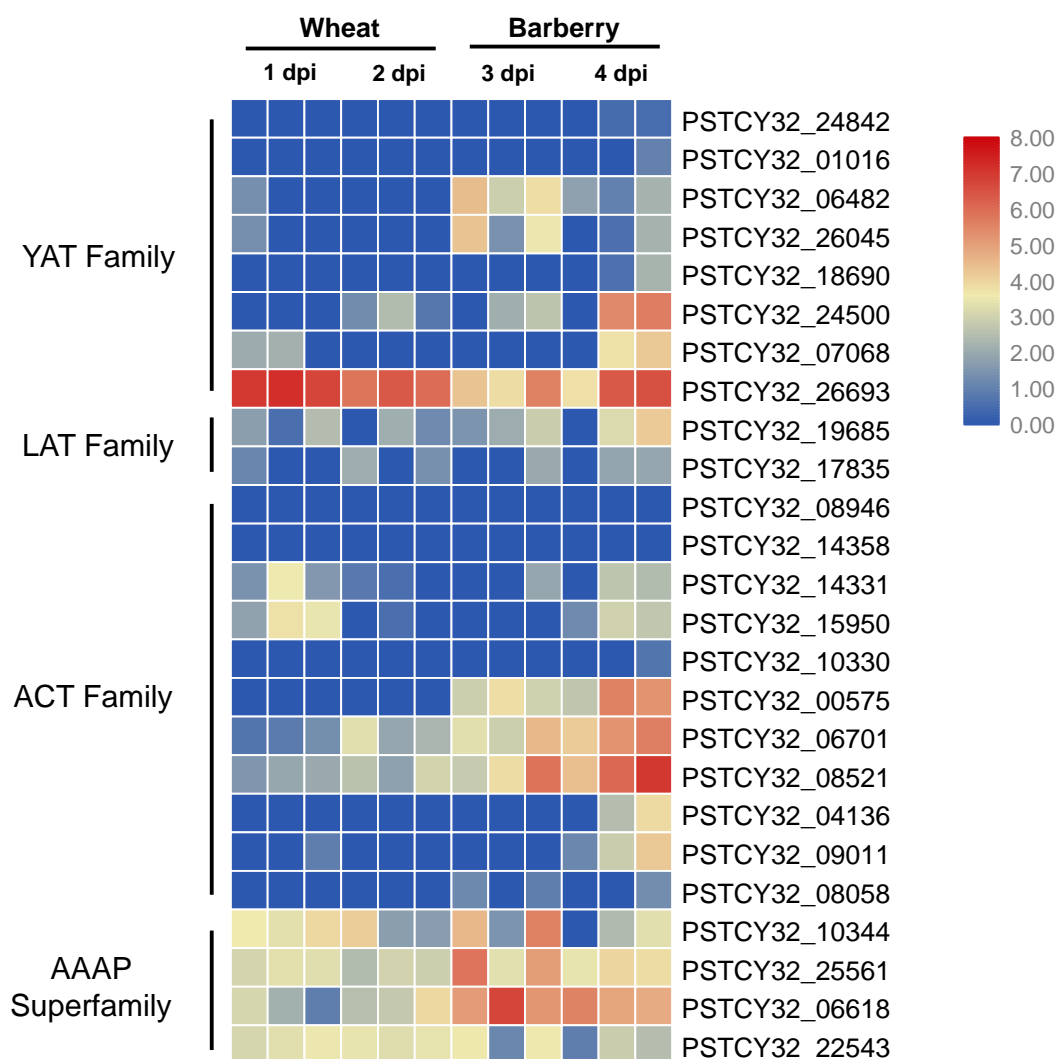
**Supplementary Figure 2: KEGG enrichment analysis of *Puccinia striiformis* f. sp. *tritici* differentially expressed genes between wheat and barberry.**

Enrichment analysis of KEGG pathways for *Puccinia striiformis* f. sp. *tritici* (*Pst*) differentially expressed genes (DEGs) abundant in wheat (A) and abundant in barberry (B) ( $p < 0.05$  with FDR correction). The R package of clusterProfiler were used for enrichment analysis (Yu et al. 2012).



**Supplementary Figure 3: Expression patterns of *Puccinia Striiformis* f. sp. *tritici* (*Pst*) hexose transporters during the early stage of wheat and barberry infection.**

The  $\log_2(\text{TPM}+1)$  values of *Pst* hexose transporter genes in wheat (at 1 dpi and 2dpi) and barberry (at 3 dpi and 4 dpi) were shown. Asterisks represent *Pst* differentially expressed genes in wheat and barberry.



**Supplementary Figure 4: Expression patterns of *Puccinia Striiformis* f. sp. *tritici* (*Pst*) amino acid transporters during the early stage of wheat and barberry infection.**

The  $\log_2(\text{TPM}+1)$  values of *Pst* amino acid transporter genes in wheat (at 1 dpi and 2dpi) and barberry ( at 3 dpi and 4 dpi) were shown. Asterisks represent *Pst* differentially expressed genes in wheat and barberry.